

09/980232

Attorney Docket No.01767/LH

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): E. NAKAZAWA et al

Serial No. : Based on
PCT/JP01/02496

Filed : Herewith

For : SETTLEMENT SYSTEM AND
SERVER APPARATUS

Art Unit :
Examiner :

INFORMATION DISCLOSURE STATEMENT

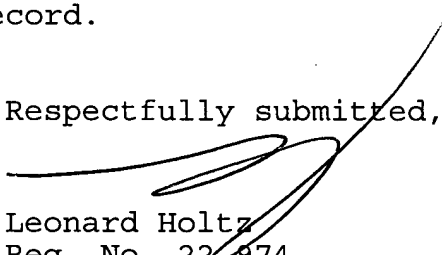
Asst. Commissioner for Patents
Washington, D.C. 20231

S I R :

Submitted herewith are copies of the publications identified on the attached Patent Office form PTO/SB/08A. Also attached is an International Search Report. ✓

It is respectfully requested that these publications be considered and made of record.

Respectfully submitted,

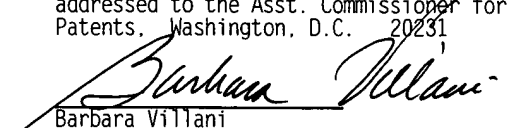

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Barbara Villani

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

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|---|---|----|---|------------------------|--|-------------------|--|
| Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Application Number | | | |
| | | | | Filing Date | | Herewith | |
| | | | | First Named Inventor | | E. NAKAZAWA et al | |
| | | | | Group Art Unit | | | |
| | | | | Examiner Name | | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | | 01767/LH | |

U.S. PATENT DOCUMENTS

| Exam. Inits ¹ | Cite No ¹ | Document Number | Kind Code ² | Name of Patentee or Applicant | Publication Date MM-DD-YYYY | Relevant Portion |
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| | | 5,855,007 | A | Jovicic et al | 12-29-1998 | |

FOREIGN PATENT DOCUMENTS

| Exam Inits ¹ | Cite No ¹ | Offc ³ | Document Number ⁴ | Kind Code ⁵ | Name of Patentee or Applicant | Publication Date MM-DD-YYYY | Relevant Portion | T ⁶ |
|----------------------------|-------------------------|-------------------|------------------------------|---------------------------|----------------------------------|--------------------------------|---------------------|----------------|
| | | PCT | WO 97/22074 ✓ | A1 | Goldhaber et al | 06-19-1997 | | |
| | | PCT | WO 97/37737 ✓ | A1 | Kelly et al | 10-16-1997 | | |
| | | EP | 0 971 302 ✓ | A1 | Ziegler | 01-12-2000 | | |

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

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